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3-		NOV 2 8 2018
4		Clerk of the Court Superior Court of CA Courty of Santa Clara DEPUTY
5		BYM. Rocales
6	SUPERIOR COURT OF 1	THE STATE OF CALIFORNIA
7	COUNTY O	F SANTA CLARA
8		
9	ASML US, INC., a Delaware corporation,	Case No. 16-CV-295051
10	Plaintiff,	SPECIAL VERDICT FORMS
11	V.	
12 13	XTAL, INC., a Delaware corporation,	Dept.: 8 Judge: Hon. Sunil R. Kulkarni
13	Defendant.	
14		
16		
17		
18	You are being given 5 separate special ver	lict forms. After all verdict forms have been completed
19	and signed, notify the bailiff that you are ready to p	
20		
21		
22		TIDLE V DEADLE
23		JUPPE KULEAMNI
24		(1 28 / 1Y
25	· · ·	
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27		
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	SPECIAL V	ERDICT FORMS

		TO MEND A GMENT OF A MARKAR		
1	SPECIAL VERDICT FORM FOR ASML'S CLAIM OF			
2	INDUCING BREACH OF CONTRACT			
3	ASM	L v XTAL, Case No. 16-cv-295051		
4	We answer the questions submitted to	us as follows:		
5	1. Was there a contract	between ASML and any of the following individuals?		
6	Song Lan	<u>12 Yes</u> No		
7	Wanyu Li	12 Yes No		
8	Hua-yu Liu	<u>12 Yes</u> No		
9	Zongchang Yu	<u>12 Yes</u> No		
10	Jiangwei Li	<u>12 Yes</u> No		
11	Jie Lin	12 YesNo		
12	If your answer to question 1 is yes for	any of these individuals, then answer question 2 (but only for those		
13	individuals to which you answered yes	to answer 1). If you answered no for <u>all</u> of the individuals, stop		
14	here, answer no further questions, and	have the presiding juror sign and date this form.		
15	2. Did XTAL know of	any of the contracts between ASML and any of the following		
16	individuals?			
17	Song Lan	12 Yes No		
18	Wanyu Li	12 YesNo		
. 19	Hua-yu Liu	<u>// Yes / No</u>		
20	Zongchang Yu	<u>12 Yes</u> No		
21	Jiangwei Li	12 Yes No		
22	Jie Lin	12 Yes No		
23	If your answer to question 2 is yes for	any of the individuals, then answer question 3 (but only for those		
24	individuals to which you answered yes	to answer 2). If you answered no for <u>all</u> of the individuals, stop		
25	here, answer no further questions, and have the presiding juror sign and date this form.			
26	3. Did XTAL intend to	cause any of the following individuals to breach his or her		
27	contract with ASML?			
28		2		
		2 SPECIAL VERDICT FORMS		
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1	Song Lan	<u>9 Yes 3</u> No
2	Wanyu Li	<u>12</u> Yes No
3	Hua-yu Liu	9 Yes 3 No
4	Zongchang Yu	12 YesNo
5	Jiangwei Li	12 Yes No
6	Jie Lin	12 YesNo

7 If your answer to question 3 is yes for <u>any</u> of the individuals, then answer question 4 (but only for those
8 individuals to which you answered yes to answer 3). If you answered no for <u>all</u> of the individuals, stop
9 here, answer no further questions, and have the presiding juror sign and date this form.

104.Did XTAL's conduct cause any of the following individuals to breach his or her11contract with ASML?

12	Song Lan	12 Yes 12 No
13	Wanyu Li	<u>/2</u> Yes No
14	Hua-yu Liu	<u>// Yes / No</u>
15	Zongchang Yu	12 Yes No
16	Jiangwei Li	12 Yes No
17	Jie Lin	12 Yes No

18 If your answer to question 4 is yes for <u>any</u> of the individuals, then answer question 5 (but only for those
19 individuals to which you answered yes to answer 4). If you answered no for <u>all</u> of the individuals, stop
20 here, answer no further questions, and have the presiding juror sign and date this form.

5. Was XTAL's conduct a substantial factor in causing harm to ASML or causing
XTAL to be unjustly enriched?

12 Yes____No

23

26

27

28

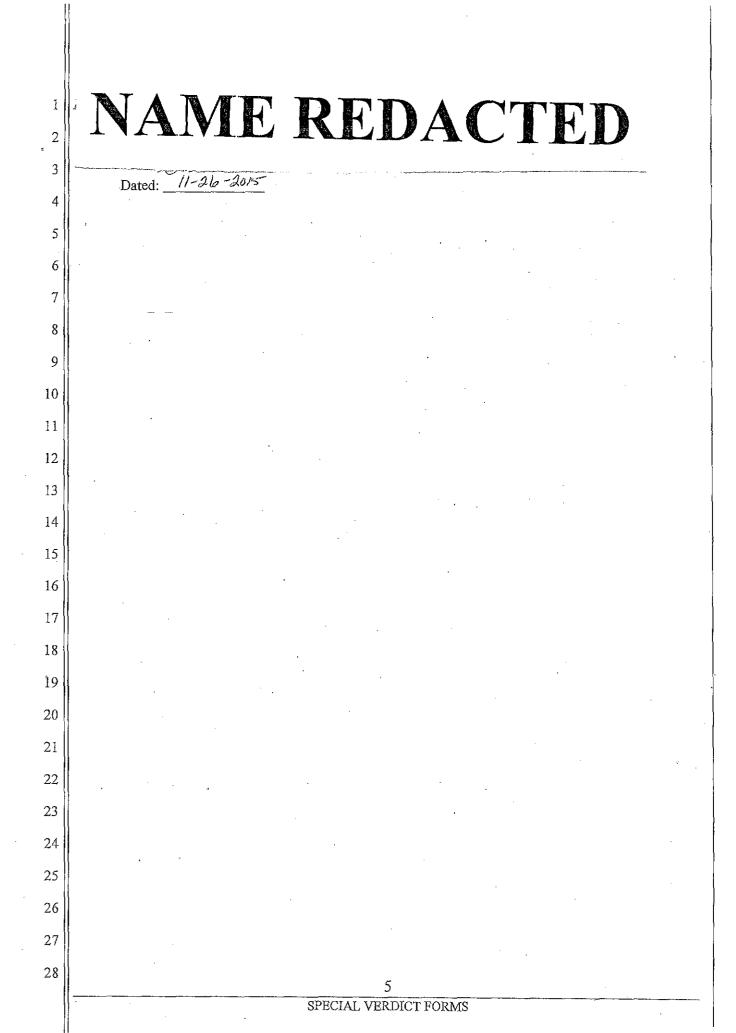
If your answer to question 5 is yes, then answer question 6. If you answered no, stop here, answer no
further questions, and have the presiding juror sign and date this form.

6. What are ASML's damages, if any?

- a. Past economic loss
- 3

. 1		i)	Lost profits		\$
2		ii)	Other past economic loss		\$ 64 914,000
3			Total Past Economic Damages (add i) and ii):	\$ 64	1 914,000
4	b.	Preju	dgment interest on past economic loss:	\$	¢
5	с.	Futur	e economic loss		
6		i)	Lost profits:		\$ 71,000,000
7		ii)	Other future economic loss:		\$
8			Total Future Economic Damages (add i) and ii): \$ <u>_7/</u>	, <u>000,00</u> 0
. 9	F			5 <u>,914,</u>	
10	In answering this question (Question 6), do not reduce or increase your finding because of damages arising from other claims for which you may find XTAL responsible.				
11				Ņ	
12	Answer questi	on 7.			
13	7.	ASM	IL has presented two theories of unjust enrich	ment as	a remedy for XTAL's
14	inducing breach of contract: (1) disgorgement of XTAL's profits; and (2) avoided research and				
. 15					
16 XTAL's unjust enrichment by placing an X next to one of the two unjust enrichment the				enrichment theories	
17	17 below, and fill in the amount of money to the right of the check box. You must place an X and				I must place an X and fill
18	in an amount	next to	o only one of the two measures of unjust enric	hment.	
. 19					
20	Disgorgemen	t of X	FAL's Profits		\$
21	XTAL's Avoi	ded Res	search and Development Costs	.	\$ 64, 800,000
22					
23	Answer questi			•	
24	8.		ASML prove, by clear and convincing eviden		
25		r fraud	in inducing any of the individuals listed above	ve to bre	each their contracts with
26	ASML?	М	ł	• .	
27	- -	<u>yr</u>	Yes No		
28			4	<u> </u>	·
			SPECIAL VERDICT FORMS		

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1	SPECIAL VERDICT FORM FOR ASML'S CLAIM OF
2	AIDING AND ABETTING BREACH OF FIDUCIARY DUTY
3	ASML v XTAL, Case No. 16-cv-295051
4	We answer the questions submitted to us as follows:
5	1. Did any of the following individuals owe a fiduciary duty (specifically, a duty of
6	undivided loyalty) to ASML?
7	Song Lan <u>12 Yes</u> No
8	Wanyu Li <u>12</u> Yes No
9	Hua-yu Liu <u>//</u> Yes / No
10	If your answer to question 1 for <u>any</u> of the individuals is yes, then answer question 2 (but only for those
11	individuals to which you answered yes to question 1). If you answered no for <u>all</u> individuals, stop here,
12	answer no further questions, and have the presiding juror sign and date this form.
13	2. Did any of the following individuals breach his or her fiduciary duty (specifically, a
14	duty of undivided loyalty) to ASML?
15	Song Lan <u>12</u> Yes <u>No</u>
16	Wanyu Li <u>12</u> Yes No
17	Hua-yu Liu <u>10</u> Yes <u>2</u> No
18	If your answer to question 2 for <u>any</u> of the individuals is yes, then answer question 3 (but only for those
19	individuals to which you answered yes to answer 2). If you answered no for <u>all</u> individuals, stop here,
20	answer no further questions, and have the presiding juror sign and date this form.
21	Did XTAL know that: a) the individuals for whom you checked "yes" for
22	questions 32, 326 owed an undivided duty of loyalty to ASML; and b) know that these
23	individuals were going to breach this duty?
24	Yes No
25	If your answer to question 3 is yes, then answer question 4. If you answered no, stop here, answer no
26	further questions, and have the presiding juror sign and date this form.
27	
28	Autorions 1 AND 2
	SPECIAL VERDICT FORMS

1	4.	Did XTAL give substantial assistance or encouragement to the individuals for			
2	whom you checked "yes" for questions 1, 2, and 3, for their breach of their duty of undivided				
3	loyalty to AS	ML?			
4	•	12 Yes No			
5	If your answer	to question 4 is yes, then answer question 5. If you answered no, stop here, answer no			
6	further questic	ons, and have the presiding juror sign and date this form.			
7	5.	Was XTAL's conduct a substantial factor in causing harm to ASML or causing			
8	XTAL to be	injustly enriched?			
9		12 YesNo			
10	If your answer	to question 5 is $\frac{1}{100}$, then answer question 6. If you answered yes, then stop here, answer no			
11	further questic	ons, and have the presiding juror sign and date this form.			
12	6.	What amount of damages, if any, were caused by XTAL's aiding and abetting of			
13	breaches of f	iduciary duty to ASML?			
14	a	Past economic loss			
15		i) Lost profits \$			
16		ii) Other past economic loss $\$ 98,000,000$			
17		Total Past Economic Damages (add i) and ii): \$ <u>98,000,0</u> 00			
18	b.	Prejudgment interest on past economic loss:			
19	с.	Future economic loss			
20		i) Lost profits: <u>\$ 71,000,000</u>			
21		ii) Other future economic loss: \$			
22		Total Future Economic Damages (add i) and ii): \$ <u>71,000,0</u> 00			
23		IAGES (add a., b., and c.): \$169,000,000			
24	In answering this question (Question 6), do not reduce or increase your finding because of damages arising from other claims for which you may find XTAL responsible.				
25					
26	Answer questi	on 7.			
27					
28	[]	7			
		SPECIAL VERDICT FORMS			

1	7. ASML has presented two theories of unjust enrichment as a remedy for XTAL's					
2	aiding and abetting of breach of fiduciary duty: (1) disgorgement of XTAL's profits; and (2)					
3	avoided research and development costs. Identify the theory you find most appropriately					
4	compensates ASML for XTAL's unjust enrichment by placing an X next to one of the two unjust					
5	enrichment theories below, and fill in the amount of money to the right of the check box. You					
6	must place an X and fill in an amount next to only <u>one</u> of the two measures of unjust enrichment.					
7.						
8	Disgorgement of XTAL's Profits \$					
9	XTAL's Avoided Research and Development Costs \$_98,000,000					
10						
11	Answer question 8.					
12	8. Did ASML prove, by clear and convincing evidence, that XTAL acted with malice,					
13	oppression, or fraud in aiding and abetting these breaches of fiduciary duty to ASML?					
14	Yes No					
15						
16 17	NAME REDACTED					
18						
19	Dated: 11-26-18					
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	SPECIAL VERDICT FORMS					
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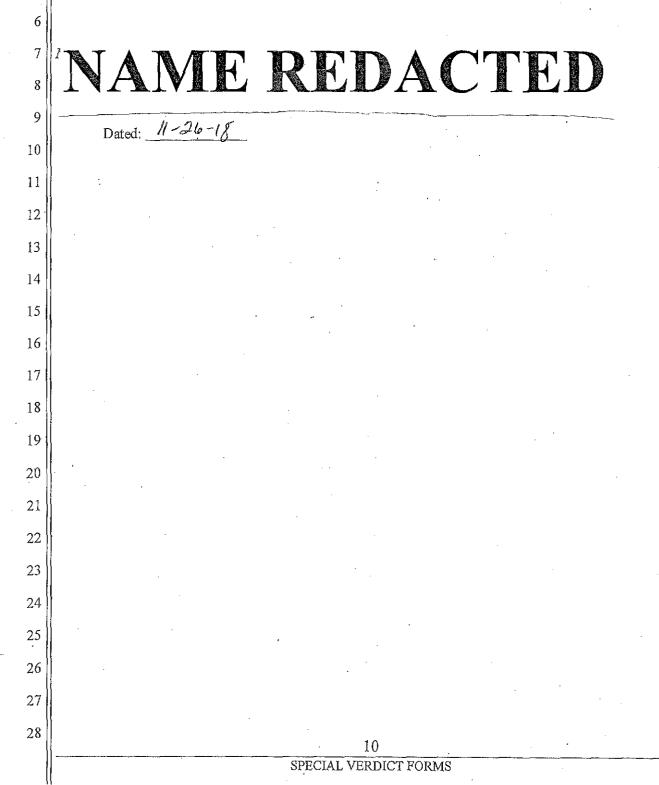
1	SPECIAL VERDICT FORM FOR ASML'S PENAL CODE SECTION 502(C) CLAIM				
2	ASML v XTAL, Case No. 16-cv-295051				
3	We answer the questions submitted to us as follows:				
4	1. Did someone acting as an agent or employee of XTAL at that time knowingly				
5	access and without permission add, alter, damage, delete, or destroy any ASML data, ASML				
6	computer software, or ASML computer programs that exist (whether internally or externally) on				
7	an ASML computer, ASML computer system, or ASML's computer network?				
8	<u>//_Yes_/_No</u>				
9	Answer question 2.				
10	2. Did someone acting as an agent or employee of XTAL at that time knowingly				
11	access and without permission take, copy, or make use of any data from an ASML computer,				
12	ASML computer system, or ASML computer network, where the data exists (whether internally or				
13	externally) on a ASML computer, ASML computer system, or ASML's computer network?				
14	<u>//_Yes_/_No</u>				
15	Answer question 3.				
16	3. Did someone acting as an agent or employee of XTAL at that time knowingly				
17	access and without permission take or copy any supporting documentation for data on ASML				
18	computers, ASML computer systems, or ASML's computer networks, where the data or				
19	documentation exists (whether internally or externally) on a ASML computer, ASML computer				
20	system, or ASML's computer network?				
21	<u>// Yes / No</u>				
22	If you answered yes to question 1, 2, or 3, then answer question 4. If you answered no for questions 1, 2,				
23	and 3, stop here, answer no further questions, and have the presiding juror sign and date this form.				
24	4. What are ASML's damages from this misconduct (in other words, the conduct for				
25	which you answered "yes" for questions 1, 2, or 3)?				
26	<u>\$ 1, 200, 000</u> In answering this question (Question 4), do not reduce or increase your finding because of damages				
27	In answering this question (Question 4), do not reduce or increase your finding because of damages arising from other claims for which you may find XTAL responsible.				
28	9				
	a de la construcción de la constru				

1 Answer question 5.

5

2 5. Did ASML prove, by clear and convincing evidence, that XTAL acted with malice,
3 oppression, or fraud when committing, ratifying, or authorizing this misconduct (in other words,
4 the conduct for which you answered "yes" for Questions 1, 2, or 3)?

<u>10 Yes 2 No</u>



1	SPECIAL VERDICT FORM FOR ASML'S CLAIM OF				
2	AIDING AND ABETTING VIOLATION OF PENAL CODE SECTION 502(C)				
3	ASML v XTAL, Case No. 16-cv-295051				
4	We answer the questions submitted to us as follows:				
5	1a. Did a third party knowingly access and without permission add, alter, damage,				
6	delete, or destroy any ASML data, ASML computer software, or ASML computer programs that				
7	exist (whether internally or externally) on an ASML computer, ASML computer system, or				
8	ASML's computer network?				
. 9	<u>9 Yes 3 No</u>				
10	Answer question 1b.				
11	1b. Did a third party knowingly access and without permission take, copy, or make use				
12	of any data from an ASML computer, ASML computer system, or ASML computer network,				
13	where the data exists (whether internally or externally) on a ASML computer, ASML computer				
14	system, or ASML's computer network?				
15	12 Yes P No				
16	Answer question 1c.				
17	1c. Did a third party knowingly access and without permission take or copy any				
18	supporting documentation for data on ASML computers, ASML computer systems, or ASML's				
19	computer networks, where the data or documentation exists (whether internally or externally) on a				
20	ASML computer, ASML computer system, or ASML's computer network?				
21	12 Yes O No				
22	If you answered yes to question 1a, 1b, or 1c, then answer question 2. If you answered no for questions 1a,				
23	1b, and 1c, stop here, answer no further questions, and have the presiding juror sign and date this form.				
24	2. Did XTAL know that the third party or third parties were going to engage in				
25	misconduct (in other words, the conduct for which you answered "yes" above for questions 1a, 1b,				
26	or 1c)?				
27	$\frac{10}{\text{Yes}}$ Yes $\frac{2}{\text{No}}$				
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	SPECIAL VERDICT FORMS				

SPECIAL VERDICT FORMS

· 1	If you answered yes to this question, then answer question 3. If you answered no to this question,
2	stop here, answer no further questions, and have the presiding juror sign and date this form.
3	3. Did XTAL give substantial assistance or encouragement to the third party or third
	parties to engage in this misconduct (in other words, the conduct for which you answered "yes"
4	
. 5	above for questions 1a, 1b, or 1c)?
6	
7	If you answered yes to this question, then answer question 4. If you answered no to this question,
8	stop here, answer no further questions, and have the presiding juror sign and date this form.
9	4. Was XTAL's conduct a substantial factor in causing harm to ASML?
10	YesNo
11	If you answered yes to this question, then answer question 5. If you answered no to this question,
12	stop here, answer no further questions, and have the presiding juror sign and date this form.
13	5. What amount of damages, if any, was caused by the third parties' misconduct (in
14	other words, the conduct for which you answered "yes" above for questions 1a, 1b, or 1c)?
15	\$ <u>/, 200,000</u> In answering this question (Question 5), do not reduce or increase your finding because of damages
16	arising from other claims for which you may find XTAL responsible.
17	
18	Answer question 6.
19	6. Did ASML prove, by clear and convincing evidence, that XTAL acted with malice,
20	oppression, or fraud in aiding and abetting the third party's (or third parties') misconduct (in other
21	words, the conduct for which you answered "yes" above for questions 1a, 1b, or 1c)?
22	<u>10 Yes 2 No</u>
23	
24	
25	NAME REDACTED
26	Dated: 11-26-18
. 27	
28	12
	SPECIAL VERDICT FORMS

1	SPECIAL VERDICT FORM FOR ASML'S					
2	TRADE SECRET MISAPPROPRIATION CLAIM					
3	ASML v XTAL, Case No. 16-cv-295051					
4	We answer the questions submitted to us as follows:					
5	1. Do you find that ASML was the owner	of any c	of the following	items of	f	
6	information?					
7	Alleged Trade Secret		Yes		No	
	#1: Tachyon Source Code as of Nov. 6, 2013		12-			
8	#2: Tachyon Line End Check Source Code		12			
9	#3: Main Tachyon Model Apply Source Code		12			
10	#4: Tachyon Model Calibration Flow Source Code		12-		· · · · · · · · · · · · · · · · · · ·	
11	#5: Tachyon Model User Manuals		12			
	#6: Tachyon Model Review Package		12			
12	#7: Tachyon After Etch Image ("AEI") Model Power	Point	12			
13	#8: Tachyon SMO Update		12			
14	#9: Tachyon OPC+ Software Manuals		12			
15	#10: Tachyon SMO PowerPoint		12			
16 17 18	If you answered "yes" to <u>any</u> of the alleged trade sec If you answered no to <u>all</u> of the alleged trade secret q questions, and have the presiding juror sign and date	uestions	above, stop her			
19	2. For the items of information for which	you mar	'ked "yes" in qu	estion 1	, do you find	
20	that any of them was secret at the time of the alleged i	misappro	priation? For it	tems for	which you	
21	marked "no" in question 1, mark them "Not Applicab	le" or "N	I/A" here.			
22	Alleged Trade Secret	Yes	<u>No</u>		<u>N/A</u>	
	#1: Tachyon Source Code as of Nov. 6, 2013	12				
23	#2: Tachyon Line End Check Source Code	12				
24	#3: Main Tachyon Model Apply Source Code	12				
25	#4: Tachyon Model Calibration Flow Source			-		
26	Code	12				
	#5: Tachyon Model User Manuals	9	3			
27	#6: Tachyon Model Review Package	12			·	
28	#7: Tachyon After Etch Image ("AEI") Model	11	1			
	13 SPECIAL VERDIC	T FORMS				

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1	PowerPoint				
	#8: Tachyon SMO Update	12			
2	#9: Tachyon OPC+ Software Manuals	- Ap	3		
3	#10: Tachyon SMO PowerPoint	10	2	<u></u>	
4					
5	If you approved "you" to amy of the allocad trade s	agreet martions	above there are	or quartion ?	
6	6 If you answered yes to any of the alleged trade secret questions above, then answer question 5. If you answered no to all of the alleged trade secret questions above, stop here, answer no further				
7	questions, and have the presiding juror sign and date this form.				
8	3. For the items of information for whi	ich you marked	"yes" in question	2, do you find	
9	that any of them has actual or potential independent economic value because it was secret? For				
10	items for which you marked "no" or "N/A" in question 2, mark them "Not Applicable" or "N/A"				
11	here.				
12	<u> Alleged Trade Secret</u>	Yès	No	<u>N/A</u>	
13	#1: Tachyon Source Code as of Nov. 6, 2013	12			
	#2: Tachyon Line End Check Source Code	12			
14	#3: Main Tachyon Model Apply Source Code	12			
15	#4: Tachyon Model Calibration Flow Source				
16	Code	12			
17	#5: Tachyon Model User Manuals	9	3		
1/	#6: Tachyon Model Review Package	12			
18	#7: Tachyon After Etch Image ("AEP") Model			·	
19	PowerPoint	9	3		
20	#8: Tachyon SMO Update	12			
	#9: Tachyon OPC+ Software Manuals	9	3		
21	#10: Tachyon SMO PowerPoint	9	3		
22					
23	the one and used "read" to any of the allowed trade	a anat a cartiara	above those area	or quartice d	
24	If you answered "yes" to <u>any</u> of the alleged trade s		· .		
24	If you answered no to <u>all</u> of the alleged trade secret questions above, stop here, answer no further				
25	questions, and have the presiding juror sign and date this form.				
26					
27					
28		·			
۵۵	14		-		

1.	4. For the items of information for which you marked "yes" in question 3, do you find				
2	that ASML made reasonable efforts under the circumstances to keep those items secret? For items				
3	for which you marked "no" or "N/A" in question 3, mark them "Not Applicable" or "N/A" here.				
4	Trade Secret	Yes	No	<u>N/A</u>	
	#1: Tachyon Source Code as of Nov. 6, 2013	12			
5	#2: Tachyon Line End Check Source Code	12			
6	#3: Main Tachyon Model Apply Source Code	12-	·		
7	#4: Tachyon Model Calibration Flow Source				
8	Code	12	· · · · · · · · · · · · · · · · · · ·		
-	#5: Tachyon Model User Manuals	10	2		
9	#6: Tachyon Model Review Package	12	······		
10	#7: Tachyon After Etch Image ("AEI") Model				
11	PowerPoint	10	2		
	#8: Tachyon SMO Update	12			
12	#9: Tachyon OPC+ Software Manuals	. 10	2		
13	#10: Tachyon SMO PowerPoint	- 10	2		
14					
15	If you answered "yes" to <u>any</u> of the alleged trade secret questions above, then answer question 5.			wer question 5.	
16	If you answered no to <u>all</u> of the alleged trade secret questions above, stop here, answer no further			swer no further	
17	questions, and have the presiding juror sign and date this form.				
18	5. Did XTAL acquire any ASML trade secrets (in other words, items of information			of information	
19	for which you marked "yes" in response to questions 1, 2, 3, and 4) by improper means? For			eans? For	
20	items for which you marked "no" or "N/A" in question 4, mark them "Not Applicable" or "N/A"			ble" or "N/A"	
21	here.		,		
22	Alleged Trade Secret	Yes	<u>No</u>	<u>N/A</u>	
23	#1: Tachyon Source Code as of Nov. 6, 2013	12	· 		
	#2: Tachyon Line End Check Source Code	12	· · · · · · · · · · · · · · · · · · ·		
24	#3: Main Tachyon Model Apply Source Code	12			
25	#4: Tachyon Model Calibration Flow Source				
26	Code	9	9		
20		1			

Code26#5: Tachyon Model User Manuals27#6: Tachyon Model Review Package28#7: Tachyon After Etch Image ("AEI") Model

SPECIAL VERDICT FORMS

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			,			
1	PowerPoint		<u></u>			
1	#8: Tachyon SMO Update	12	- <u>-</u>			
. 2	#9: Tachyon OPC+ Software Manuals	12				
3	#10: Tachyon SMO PowerPoint	11	1			
4						
F						
5	Answer question 6.					
6	6. Did XTAL disclose any ASML trac	le secrets (in othe	er words, items	of information		
7	for which you marked "yes" in response to questic	ons 1, 2, 3, <u>and</u> 4)	? For items for	which you		
8						
9	Alleged Trade Secret	Yes	No	N/A		
	#1: Tachyon Source Code as of Nov. 6, 2013	12	<u>110</u>			
10	#2: Tachyon Line End Check Source Code	12				
11	#3: Main Tachyon Model Apply Source Code	B	9	<u> </u>		
12	#4: Tachyon Model Calibration Flow Source		7	<u> </u>		
. 13	Code	3	9			
	#5: Tachyon Model User Manuals	12				
14	#6: Tachyon Model Review Package	2	10	-		
15	#7: Tachyon After Etch Image ("AEI") Model					
16	PowerPoint	10	2			
17	#8: Tachyon SMO Update	3	9			
	#9: Tachyon OPC+ Software Manuals	12				
18	#10: Tachyon SMO PowerPoint	12				
19						
20	Answer question 7.	· ,	•			
21		crets (in other wo	ords items of in	formation for		
. 22						
23						
- 24	Alleged Trade Secret	Yes	No	N/A		
	#1: Tachyon Source Code as of Nov. 6, 2013	12		<u></u>		
- 25	#2: Tachyon Line End Check Source Code	12		<u> </u>		
26	#3: Main Tachyon Model Apply Source Code		12			
27	#4: Tachyon Model Calibration Flow Source	_	· / ·	<u> </u>		
28		3	9.			
20	10		A	·····		
	SPECIAL VER	DICT FORMS				

1	#5: Tachyon Model User Manuals	12		_
Â	#6: Tachyon Model Review Package	3	9	
2	#7: Tachyon After Etch Image ("AEI") Model	· · · ·		
3	PowerPoint	10	2	
4	#8: Tachyon SMO Update	2	10	
c	#9: Tachyon OPC+ Software Manuals	12		
<u>Э</u> -	#10: Tachyon SMO PowerPoint	12		
6				
7				

If you answered yes to <u>any</u> part of question 5, 6, <u>or</u> 7, then answer question 8. If you answered no to <u>all</u> parts of questions 5, 6, <u>and</u> 7, stop here, answer no further questions, and have the presiding juror sign and date this form.

8. Was XTAL's acquisition, use, or disclosure of ASML trade secrets (in other words, items of information for which you marked "yes" in response to questions 1, 2, 3, and 4) a substantial factor in causing ASML harm <u>or</u> in causing XTAL to be unjustly enriched?

12 Yes ____ No

If you answered "yes" to this question, then answer question 9. If you answered no, then stop here, answer no further questions, and have the presiding juror sign and date this form.

9. What amount of damages, if any, were caused by XTAL's misappropriation of
ASML's trade secrets (in other words, items of information for which you marked "yes" in
response to questions 1, 2, 3, and 4)?

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Past economic loss

- i) Lost profits
- ii) Other past economic loss

Total Past Economic Damages (add i) and ii):

Prejudgment interest on past economic loss:

c. Future economic loss

ii)

b.

a.

- i) Lost profits:
 - Other future economic loss:

Total Future Economic Damages (add i) and ii): \$ 71,000,000

152,000,000

\$<u>71,000,000</u> \$\$\$

\$<u>152,000.000</u>

17

1 || TOTAL DAMAGES (add a., b., and c.):

\$ 223,000,000

In answering this question (Question 9), do not reduce or increase your finding because of damages arising from other claims for which you may find XTAL responsible.

4 Answer question 10.

5 10. ASML has presented two theories of unjust enrichment as a remedy for XTAL's misappropriation of trade secrets (in other words, items of information for which you marked 6 7 "yes" in response to questions 1, 2, 3, and 4). The two theories are: (1) disgorgement of XTAL's profits, and (2) avoided research and development costs. Identify the theory that you find most 8 appropriately compensates ASML for XTAL's unjust enrichment by placing an X next to one of 9 the two unjust enrichment theories below, and fill in the amount of money to the right of the check 10 box. You must place an X and fill in an amount next to only one of the two measures of unjust 11 12 enrichment.

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14 Disgorgement of XTAL's Profits XTAL's Avoided Research and Development Costs

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\$______\$_152,000,000

17 Answer question 11.

18 11. Did ASML prove, by clear and convincing evidence, that XTAL acted willfully
 19 and maliciously in misappropriating ASML's trade secrets (in other words, items of information
 20 for which you marked "yes" in response to questions 1, 2, 3, and 4)?

21 // Yes / No 22 ME REDACTED 23 24 25 Dated: 11-28-18 26 27 28 18